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# CLOSED DENSELY DEFINED OPERATORS COMMUTING WITH MULTIPLICATIONS IN A MULTIPLIER PAIR

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(Communicated by Richard Rochberg)

Dedicated to the memory of Bill Arveson, an inspiration to us all

ABSTRACT. For a multiplier pair (X,Y) we study the closed densely defined operators T on X that commute with all of the multiplications by right multipliers in X. We apply our general results to special cases involving  $H^p$ , completions of  $L^\infty$  [0, 1] with respect to certain norms, and the completion of a  $II_1$  factor von Neumann algebra with respect to a unitarily invariant norm, where we show that each such T is a "left multiplication". However, we give an example of a closed densely defined operator on the Bergman space that commutes with all multiplications by  $H^\infty$ -functions but is not a multiplication operator.

## 1. Introduction

In [6], [7] the first and third authors introduced and studied the notion of a multiplier pair (X,Y), where X is a Banach space that is a vector subspace of a Hausdorff topological vector space Y with a separately continuous bilinear map (multiplication)  $\cdot: X \times X \to Y$  such that the set  $\mathcal{L}_0 = \{x \in X : x \cdot X \subseteq X\}$  of left multipliers and the set  $\mathcal{R}_0 = \{x \in X : X \cdot x \subseteq X\}$  of right multipliers are dense in X, and such that there are dense subsets  $\mathcal{E} \subseteq \mathcal{L}_0$ ,  $\mathcal{F} \subseteq X$ ,  $\mathcal{G} \subseteq \mathcal{R}_0$  such that

$$(a \cdot b) \cdot c = a \cdot (b \cdot c)$$

whenever  $a \in \mathcal{E}$ ,  $b \in \mathcal{F}$ ,  $c \in \mathcal{G}$ . Moreover, there is an  $e \in X$  such that  $e \cdot x = x \cdot e = x$  for every  $x \in X$ . It was shown that, for each  $x \in X$ , the linear transformations  $R_x$  and  $L_x$  defined by

$$L_x a = x \cdot a, \ R_x a = a \cdot x$$

are closed densely defined operators on X. Moreover,  $L_x$  is bounded on  $\mathcal{R}_0$  if and only if  $x \in \mathcal{L}_0$  and  $R_x$  is bounded on  $\mathcal{L}_0$  if and only if  $x \in \mathcal{R}_0$ . Thus  $\mathcal{R} = \{R_x : x \in \mathcal{R}_0\}$  and  $\mathcal{L} = \{L_x : x \in \mathcal{L}_0\}$  are unital subalgebras of B(X) (the set of bounded linear operators on X). It was proved in [6] that  $\mathcal{L}$  and  $\mathcal{R}$  are each other's commutant, which implies that  $\mathcal{L}$  and  $\mathcal{R}$  are closed in the weak operator topology. In the setting of multiplier pairs a general notion of a composition operator was defined.

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Suppose B(W) denotes the Banach algebra of all bounded linear transformations on a Banach space W, and suppose  $\mathcal{A}$ ,  $\mathcal{B}$  are unital Banach subalgebras of B(W) such that  $\mathcal{B}' = \mathcal{A}$  and  $\mathcal{A}' = \mathcal{B}$ , where  $\mathcal{S}'$  denotes the *commutant* of a set of operators  $\mathcal{S}$ , i.e., the set of operators commuting with every element of  $\mathcal{S}$ . Suppose T is a linear transformation whose domain  $\mathcal{D}(T)$  and range  $\mathcal{R}(T)$  are linear subspaces of W. We say that T commutes with  $\mathcal{B}$  (or T is affiliated with  $\mathcal{A}$ ) if, for every  $S \in \mathcal{B}$ , we have  $S(\mathcal{D}(T)) \subseteq \mathcal{D}(T)$  and, for every  $x \in \mathcal{D}(T)$ , we have STx = TSx. This is equivalent to saying that, for every invertible  $S \in \mathcal{B}$ , we have ST = TS. It is also equivalent to saying that, for every  $S \in \mathcal{B}$ ,

$$(S \oplus S) \operatorname{Graph}(T) \subseteq \operatorname{Graph}(T),$$

where Graph  $(T) = \{(x, y) \in W \times W : y = Tx\}$  is the graph of T. It easily follows that if Graph (T) is closed, then the set

$$S = \{S \in B(W) : S(\mathcal{D}(T)) \subseteq \mathcal{D}(T), \forall x \in \mathcal{D}(T) \ STx = TSx\}$$

is a unital algebra that is closed in the weak operator topology. In order to show that  $\mathcal{B} \subseteq \mathcal{S}$ , it is sufficient to show that  $\mathcal{S}$  contains a set of operators  $\mathcal{B}_0$  such that the unital weak operator closed algebra generated by  $\mathcal{B}_0$  is  $\mathcal{B}$ .

In this paper we study the problem of determining for a multiplier pair (X, Y) the closed densely defined operators T on X that commute with  $\mathcal{R}$  (i.e., are affiliated with  $\mathcal{L}$ ). The symmetry of the situation makes this problem "equivalent" to that of finding the closed densely defined operators on X that commute with  $\mathcal{L}$  (i.e., are affiliated with  $\mathcal{R}$ ).

In [6] it was shown that, for every  $x \in X$ ,  $L_x$  commutes with every operator in  $\mathcal{R}$  and  $R_x$  commutes with every operator in  $\mathcal{L}$ . In general, we expect that the closed densely defined operators commuting with  $\mathcal{R}$  should be left multiplications of some sort. We will prove general results that affirm this notion in a large number of cases, but, when X is the Bergman space on the unit disk, we construct a closed densely defined operator commuting with  $\mathcal{L} = \mathcal{R} = H^{\infty}$  that is not a multiplication by any function.

Examples of this problem have been studied by D. Suárez [18] and S. Seubert [17] in the case of the algebra generated by the unilateral shift operator, by D. Sarason [13] in the case of a restricted shift operator [14], [15], and by H. Bercovici [1] in the case of a  $C_0$ -contraction. A result for von Neumann algebras was proved by Nelson [11]. The problem for  $L^{\infty}$  [0, 1] acting on  $L^2$  [0, 1] was discussed in [9].

In [6] many examples of multiplier pairs were constructed. Many of them satisfy more conditions than assumed in the definition. We define a multiplier pair (X,Y) to be a *special multiplier pair* if the multiplication  $\cdot: Y \times Y \to Y$  is defined and separately continuous and  $(Y,+,\cdot)$  is a ring with identity e.

# 2. General results

We begin with algebraic results that will apply to special multiplier pairs. If  $\mathcal{R}$  is a ring and  $\mathcal{S} \subseteq \mathcal{R}$ , we say that  $\mathcal{S}$  is *left-separating* if, for every  $x \in \mathcal{R}$ ,  $x \cdot \mathcal{S} = \{0\}$  implies x = 0.

**Theorem 1.** Suppose  $1 \in Y$  is a ring,  $1 \in \mathcal{R}$  is a subring of Y and  $\mathcal{R} \subset X \subset Y$ , where X is a right  $\mathcal{R}$ -module. Suppose  $G \subset X \times X$  is a graph and a right  $\mathcal{R}$ -module

containing an element (g,h) such that

- (1) g has a left-inverse  $g^{-1}$  in Y,
- (2) for every  $x \in X$ ,  $\{u \in \mathcal{R} : \exists v \in \mathcal{R} \text{ such that } xu = gv\}$  is left-separating for Y.

Then  $G \subseteq \text{Graph}(L_{hq^{-1}})$ .

*Proof.* Suppose  $(x,y) \in G$ ,  $u,v \in \mathcal{R}$  and xu = gv. Then  $(x,y)u - (g,h)v = (0,yu-hv) \in G$ . Since  $(0,0) \in G$  and G is a graph, we know yu - hv = 0. Thus  $yu = hv = hg^{-1}(gv) = hg^{-1}(xu)$ . Hence, for every  $u \in \mathcal{R}$  for which there is a  $v \in \mathcal{R}$  such that xu = gv, we have

$$\left[y - L_{hg^{-1}}x\right]u = 0.$$

It follows from (2) that  $y = L_{hq^{-1}}x$  for every  $(x, y) \in G$ .

If R is an integral domain, the field of fractions  $\hat{R}$  of R is the field of formal quotients  $\frac{a}{b}$  with  $a,b \in R$  and the natural addition and multiplication. We think of  $R \subseteq \hat{R}$  by identifying  $x \in R$  with  $\frac{x}{1} \in \hat{R}$ .

**Corollary 1.** Suppose F is the field of fractions of an integral domain R, and  $R \subset X \subset F$  and X is an R-module. Suppose  $G \subset X \times X$  is a graph and an R-module. Then there is a  $\varphi \in F$  such that G is contained in the graph of  $y = \varphi x$  in  $F \times F$ .

Corollary 2. Suppose (X,Y) is a special multiplier pair such that Y is an integral domain and let  $\hat{Y}$  denote the field of quotients over Y. Suppose also that  $X \subseteq \hat{\mathcal{R}}_0$ . If  $G \subseteq X \times X$  is a graph that is an  $\mathcal{R}_0$ -module, then there is a  $\varphi \in \hat{\mathcal{R}}_0$  such that  $G \subseteq \operatorname{Graph}(L_{\varphi})$ . Moreover, if the domain of G is dense in X and  $\tau : Y \to \mathbb{C}$  is a unital algebra homomorphism whose restriction to X is continuous, then there are  $f, g \in \mathcal{R}_0$  such that  $\varphi = g/f$  and  $\tau(f) \neq 0$ .

*Proof.* The first part follows immediately from Theorem 1. Next, suppose the domain of G is dense and  $\tau: Y \to \mathbb{C}$  is a multiplicative linear functional whose restriction to X is continuous. It follows that the domain of G cannot be contained in  $\ker \tau$ . Hence there is an  $(f,g) \in G$  such that  $\tau(f) \neq 0$ . Since  $g = \varphi f$ , we have that  $\varphi = g/f$  and  $\tau(f) \neq 0$ .

Throughout this paper we use (SOT) to denote the strong operator topology.

**Theorem 2.** Suppose (X,Y) is a multiplier pair and T is a closed densely defined operator on X commuting with every operator in  $\mathcal{R}$  and such that  $T \subseteq L_y$  for some  $y \in Y$ . Suppose also that, for some  $g \in \mathcal{D}(T)$  and for every  $x \in X$ , there exist  $\{u_n\}, \{v_n\}$  in  $\mathcal{R}_0$  such that

- (1)  $xu_n = gv_n \in \mathcal{D}(T) \subseteq \mathcal{D}(L_y),$
- (2)  $R_{u_n} \to 1$  (SOT).

Then  $T = L_y$ .

Proof. Suppose  $x \in \mathcal{D}(L_y)$ , so  $yx \in X$ , and choose  $\{u_n\}$  and  $\{v_n\}$  as above. Then  $xu_n \to x$  and  $(yx)u_n \to yx$ , but  $xu_n = gv_n \in D(T)$ , so  $yxu_n = Txu_n$ . Hence  $(x, yx) = \lim_{n \to \infty} (xu_n, Txu_n) \in \mathcal{G}(T)^- = \mathcal{G}(T)$ . Hence  $x \in \mathcal{D}(T)$ . Thus  $\mathcal{D}(T) = \mathcal{D}(L_y)$ , so  $T = L_y$ .

**Theorem 3.** Suppose (X,Y) is a special multiplier pair such that, for each  $y \in Y$ , there is a sequence  $\{q_n\}$  in  $\mathcal{R}_0$  such that

- (1)  $R_{q_n} \to 1$  in the (SOT) on X,
- (2)  $yq_n \in \mathcal{R}_0$  for every n.

If T is a closed densely defined operator on X commuting with  $\mathcal{R}$  and there is a  $g \in \mathcal{D}(T)$  that is invertible in Y, then  $T = L_{T(q)q^{-1}}$ .

*Proof.* It follows from condition (1) that  $||e-eq_n|| \to 0$ , which, from the separate continuity of multiplication in Y, implies  $w-wq_n=w\cdot(e-eq_n)\to 0$  and  $w-q_nw=(e-eq_n)\cdot w\to 0$  in Y. Hence  $\{q_1,q_2,\ldots\}$  is both left-separating and right-separating in Y. Suppose  $x\in X$  and let  $y=g^{-1}x\in Y$ . Choose  $\{q_n\}$  as above and note that

$$xq_n = g(yq_n),$$

so, by Theorem 1, we see that  $T \subseteq L_{T(g)g^{-1}}$ . If  $x \in \mathcal{D}\left(L_{T(g)g^{-1}}\right)$ , then  $xq_n = R_{yq_n}\left(g\right) \in \mathcal{D}\left(T\right)$  (since T commutes with  $R_{T(g)g^{-1}}\right)$ ,  $||xq_n - x|| \to 0$  and  $T\left(xq_n\right) = L_{T(g)g^{-1}}\left(xq_n\right) = \left(L_{T(g)g^{-1}}x\right)q_n \to L_{T(g)g^{-1}}x$ . Since the operator T is closed,  $\left(x, L_{T(g)g^{-1}}x\right)$  is in the graph of T. Hence  $T = L_{T(g)g^{-1}}$ .

We now consider a special case in which X is a Hilbert space and  $\mathcal L$  and  $\mathcal R$  are von Neumann algebras.

**Lemma 1.** Suppose (X,Y) is a multiplier pair, X is a Hilbert space and  $\mathcal{L}$  is a von Neumann algebra. Suppose T is a closed densely defined operator affiliated with  $\mathcal{L}$ . Then there are  $u,v \in \mathcal{L}$  with  $0 \le u$  and  $\ker u = 0$  such that  $T \subseteq L_{u^{-1}v}$ .

*Proof.* Since Graph (T) is closed and invariant for the von Neumann algebra  $\mathcal{M} = \{A \oplus A : A \in \mathcal{R}\}$ , the projection P from  $X \oplus X$  onto Graph (T) is in the commutant of  $\mathcal{M}$ , which is  $\mathcal{M}_2(\mathcal{R}') = \mathcal{M}_2(\mathcal{L})$ . Hence, we can write  $P = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with  $A,B,C,D \in \mathcal{L}$ . If  $\begin{pmatrix} x \\ Tx \end{pmatrix} \in \operatorname{Graph}(T)$ , then

$$\left(\begin{array}{c} x \\ Tx \end{array}\right) = P \left(\begin{array}{c} x \\ Tx \end{array}\right) = \left(\begin{array}{c} Ax + BTx \\ Cx + DTx \end{array}\right),$$

which implies

$$Tx = Cx + DTx$$

or

$$(1-D)Tx = Cx.$$

Suppose  $x \in X$ , ||x|| = 1 and Dx = x.

Then

$$\left\| P \begin{pmatrix} 0 \\ x \end{pmatrix} \right\|^2 = \left( P \begin{pmatrix} 0 \\ x \end{pmatrix}, \begin{pmatrix} 0 \\ x \end{pmatrix} \right) = \left( \begin{pmatrix} Bx \\ Dx \end{pmatrix}, \begin{pmatrix} 0 \\ x \end{pmatrix} \right)$$
$$= (Dx, x) = \left\| \begin{pmatrix} 0 \\ x \end{pmatrix} \right\|^2.$$

Thus  $P\begin{pmatrix} 0 \\ x \end{pmatrix} = \begin{pmatrix} 0 \\ x \end{pmatrix}$ , which means  $\begin{pmatrix} 0 \\ x \end{pmatrix} \in \operatorname{Graph}(T)$ , which, in turn, implies x = T0 = 0. Thus  $\ker(1 - D) = 0$ . We have from (1 - D)Tx = Cx that  $Tx = (1 - D)^{-1}Cx$  for every  $x \in \mathcal{D}(T)$ .

We now look at a case that will apply to operator algebras with a *strictly cyclic separating vector*. Suppose X is a Banach space and A is a norm closed unital subalgebra of B(X) and suppose  $0 \neq e \in X$  satisfies

- (1) Ae = X (i.e., e is a strictly cyclic vector for A),
- (2) e is a separating vector for  $\mathcal{A}$ , i.e., for every  $A \in \mathcal{A}$ ,

$$Ae = 0 \Longrightarrow A = 0.$$

We can define a multiplication  $\cdot$  on X by

$$(Ae) \cdot (Be) = (AB) e.$$

In this case if we let X = Y, we have (X, Y) as a multiplier pair with  $\mathcal{L}_0 = \mathcal{R}_0 = X$ . Conversely, suppose (X, Y) is a multiplier pair with  $\mathcal{L}_0 = \mathcal{R}_0 = X$ . Then e is a strictly cyclic separating vector for  $\mathcal{L} = \{L_x : x \in \mathcal{L}_0\}$ , since  $\mathcal{L}e = \mathcal{L}_0 = X$  and since  $L_x e = 0$  implies x e = x = 0.

**Proposition 1.** Suppose (X,Y) is a multiplier pair and  $\mathcal{L}_0 = \mathcal{R}_0 = X$ . Suppose T is a closed densely defined operator on X affiliated with  $\mathcal{L}$ . Then  $T \in \mathcal{L}$ .

Proof. The map  $\gamma: \mathcal{L} \to X$  defined by  $\gamma(L_x) = x$  is continuous and bijective. It follows from the open mapping theorem that  $\gamma^{-1}$  is continuous. Since  $\mathcal{D}(T)$  is dense in X, there is a  $g \in \mathcal{D}(T)$  with  $\|e - g\| < 1/\|\gamma^{-1}\|$ , which means that  $\|1 - L_g\| = \|\gamma^{-1}(e - g)\| < 1$ . Hence  $L_g$  is invertible in B(X) and in the commutant of  $\mathcal{R}$ . Hence there is an  $h \in \mathcal{L}_0$  such that  $\eta = hg = e$ . It follows from the fact that  $\mathcal{D}(T) \subseteq \mathcal{R}(\mathcal{D}(T))$  that  $\mathcal{D}(T) = X$ , which implies  $T \in \mathcal{R}' = \mathcal{L}$ .

We conclude with some results in a different direction.

**Proposition 2.** Suppose (X,Y) is a multiplier pair. Then:

- (1) If  $a \in X$ , then  $L_a$  is a closed densely defined operator on X that commutes with every operator in  $\mathcal{R}$ .
- (2) If (X, Y) is a special multiplier pair and  $y \in Y$ , then  $L_y$  is a closed operator on X that commutes with every operator in  $\mathcal{R}$ .
- (3) If (X,Y) is a special multiplier pair,  $y \in Y$  and, for each  $x \in \mathcal{R}_0$ , there is a sequence  $\{e_n\}$  in  $\mathcal{L}_0$  such that
  - (a)  $||e_n x x|| \to 0$ ,
  - (b)  $ye_n \in X$  for every  $n \ge 1$ , then  $\mathcal{D}(L_y)$  is dense in X.

*Proof.* (1) This was proved in [6].

- (2) If  $\{(x_n, yx_n)\}$  is a sequence in Graph  $(L_y)$  and  $\|(x_n, yx_n) (x, w)\| \to 0$ , then  $\|x_n x\| \to 0$  and  $\|yx_n w\| \to 0$ . Thus  $x_n \to x$  and  $yx_n \to w$  in Y. Since (X, Y) is a special multiplier pair, multiplication is separately continuous, so  $yx_n \to yx$  in Y. This implies w = yx. Thus  $L_y$  is closed. Since  $(Y, +, \cdot)$  is a ring,  $L_y$  is in the commutant of  $\mathcal{R}$ .
- (3) It is clear from conditions (a) and (b) that the closure of  $\mathcal{D}(L_y)$  contains  $\mathcal{R}_0$ , so  $\mathcal{D}(L_y)$  is dense in X.

#### 3. Applications

We now apply the results of the preceding section to some special cases of multiplier pairs.

3.1. Analytic functions. Suppose  $1 \leq p < \infty$  and  $X = H^p$  (on the unit disk) and that Y = N is the set of meromorphic functions in the Nevanlinna class, i.e., functions of the form f/g with  $f,g \in H^{\infty}$  and g not identically 0. Then (X,Y) is a special multiplier pair with  $\mathcal{L}_0 = \mathcal{R}_0 = H^{\infty}$ . The Smirnov class  $N^+$  consists of all members of N having a denominator that is an outer function. Sarason [13] has observed that the closed densely defined operators that commute with the unilateral shift on  $H^2$  are multiplications induced by members of the Smirnov class, and we prove it here more generally in Theorem 4 as a consequence of Corollary 1. Sarason also observed [14] that members of  $N^+$  have a canonical form related to  $H^2$ , and the following lemma shows that the analogous result related to  $H^p$  holds for  $1 \leq p < \infty$  and is established by the same proof.

**Lemma 2.** If  $1 \le p < \infty$ ,  $\phi \in N$ , and  $\phi \ne 0$ , then there exist relatively prime inner functions u and v and outer functions a and b satisfying  $|a|^p + |b|^p = 1$  a.e. on the unit circle such that

$$\phi = \frac{vb}{ua}$$
.

*Proof.* Recall that an outer function is positive at zero and is uniquely determined by its absolute boundary values, which are necessarily absolutely log integrable. Suppose  $\phi$  is a nonzero function in N and the inner-outer factorization is applied to each of the numerator and denominator of  $\phi$ , so

$$\phi = \frac{uf_1}{vf_2},$$

where u and v are relatively prime inner functions and  $f_1$  and  $f_2$  are outer functions in  $H^{\infty}$ .

Observe that on the unit circle  $\mathbb{T}$ ,

$$\max\{|f_1|, |f_2|\} \le (|f_1|^p + |f_2|^p)^{1/p} \le |f_1| + |f_2|,$$

and therefore  $(|f_1|^p+|f_2|^p)^{1/p}$  is log integrable. Thus there exists an outer function  $\psi$  in  $H^{\infty}$  such that  $|\psi|=(|f_1|^p+|f_2|^p)^{1/p}$  a.e. on  $\mathbb{T}$ . Put  $a=f_2/\psi$  and  $b=f_1/\psi$  and observe that the definition of  $\psi$  implies that  $|a|^p+|b|^p=1$  a.e. on  $\mathbb{T}$ . The asserted representation of  $\phi$  follows.

**Corollary 3.** If  $\phi \in N$ , where  $\phi = (vb)/(ua)$  as in Lemma 2, then the graph  $\operatorname{Graph}(M_{\phi})$  of  $M_{\phi}$  is the closed subset  $\{(uag) \oplus (vbg) : g \in H^p\}$  of  $H^p \oplus H^p$ .

*Proof.* If  $g \in H^p$ , then  $uag \in H^p$  and  $M_{\phi}uag = vbg \in H^p$ , and it follows that the set asserted to be the graph of  $M_{\phi}$  is a subset of the graph. For the opposite inclusion suppose both f and  $\phi f$  belong to  $H^p$ . Then both  $|f|^p$  and  $|\phi|^p |f|^p$  are integrable on  $\mathbb{T}$ , and because

$$\frac{|f|^p}{|a|^p} = \frac{|a|^p + |b|^p}{|a|^p} |f|^p = |f|^p + |\phi|^p |f|^p$$

on  $\mathbb{T}$ , it follows that if  $g_1 = f/a$ , then  $g_1 \in H^p$ . (If  $f \in H^p$ , a is outer, and  $f/a \in L^p$ , then  $f/a \in H^p$ . See Nikolskii [12, Theorem 3.9.6].) Thus  $f = ag_1$  and  $u\phi f = vbg_1$ . Since u and v are relatively prime and b is outer, the last equation

shows that u is a factor of  $g_1$ , and thus  $g_1 = ug$  for some  $g \in H^p$ . We have shown that f = aug and  $\phi f = vbg$ , and thus the required inclusion is established.

**Theorem 4.** Suppose  $1 \leq p < \infty$  and  $\mathcal{G} \subseteq H^p \oplus H^p$  is a graph that is invariant under  $M_z \oplus M_z$ . Then there is a meromorphic  $\phi \in N$  such that  $\mathcal{G} \subseteq \operatorname{Graph}(M_\phi)$ . If the domain of  $\mathcal{G}$  is dense in  $H^p$ , then  $\phi$  is in the Smirnov class. If, in addition,  $\mathcal{G}$  is closed, then  $\phi$  is in the Smirnov class and  $\mathcal{G} = \operatorname{Graph}(M_\phi)$ .

*Proof.* The first assertion follows from Corollary 1. Suppose the domain  $\mathcal{D}(\mathcal{G})$  of  $\mathcal{G}$  is dense. Then for every z with |z| < 1, there is a  $g \in \mathcal{D}(\mathcal{G})$  such that  $g(z) \neq 0$ , so  $\phi g \in H^p$  implies that  $\phi$  has a removable singularity at z. Hence  $\phi$  is analytic on the open unit disk. By Corollary 3, the domain of  $M_{\phi}$ , which includes that of  $\mathcal{G}$ , is  $uaH^p$ . Thus  $uaH^p$  is dense, and it follows that u = 1. Thus  $\phi$  is in  $N^+$ .

Suppose that  $\mathcal{G}$  is closed. If  $H^p \oplus H^p$  is given the norm defined by  $||f \oplus g|| = (||f||_p^p + ||g||_p^p)^{1/p}$ , then the proof of Corollary 3 shows that the map  $V: H^p \to H^p \oplus H^p$  defined by

$$Vg = uag \oplus vbg$$

is an isometry of  $H^p$  onto  $\operatorname{Graph}(M_\phi)$ . Let  $\mathcal{M}$  be the inverse image of  $\mathcal{G}$  under V. Then  $\mathcal{G}$  is a closed subspace of  $H^p$ , and for  $g \in \mathcal{M}$  we have

$$VM_zg = uazg \oplus vbzg = M_z \oplus M_zVg \in \mathcal{G}.$$

Hence  $\mathcal{M}$  is invariant under  $M_z$ , and, by Duren [4, Theorem 7.4] or Helson [10, page 25],  $\mathcal{M} = \omega H^p$  for some inner function  $\omega$ , and thus

$$\mathcal{G} = \{\omega uag \oplus \omega vbg : g \in H^p\} = (M_{\omega} \oplus M_{\omega})\operatorname{Graph}(M_{\phi}).$$

It follows that if the domain of  $\mathcal{G}$  is dense, then  $\omega$ , as well as u, must be 1, and hence the asserted equality holds.

As a corollary to the proof we have the following.

**Corollary 4.** If  $1 \leq p < \infty$  and  $\mathcal{G} \subseteq H^p \oplus H^p$  is a closed graph that is invariant under  $M_z \oplus M_z$ , then there is a meromorphic function  $\phi$  in the Nevanlinna class and an inner function  $\omega$  such that

$$\mathcal{G} = (M_{\omega} \oplus M_{\omega}) \operatorname{Graph}(M_{\phi}).$$

The following is a direct consequence of the preceding corollary (i.e.,  $\omega$  is constant).

**Corollary 5.** Suppose  $1 \leq p < \infty$  and  $\mathcal{G} \subseteq H^p \oplus H^p$  is a closed graph that is invariant under  $M_z \oplus M_z$  and the sum of its domain and range is dense in  $H^p$ . Then it is the graph of some meromorphic function in the Nevanlinna class.

- 3.2. **Measure theory.** A symmetric norm on  $L^{\infty}[0,1]$ , with respect to Lebesgue measure  $\mu$ , is a norm  $\alpha$  such that
  - (1)  $\alpha(f) = \alpha(|f|)$  for every  $f \in L^{\infty}[0,1]$ ,
  - (2)  $\alpha(1) = 1$ ,
  - (3)  $\alpha(f \circ \tau) = \alpha(f)$  for every  $f \in L^{\infty}[0,1]$  and every invertible measure-preserving  $\tau: [0,1] \to [0,1]$ .

We say that a symmetric norm  $\alpha$  on  $L^{\infty}[0,1]$  is continuous if

$$\lim_{t \to 0^+} \alpha \left( \chi_{[0,t]} \right) = 0.$$

We define  $L^{\alpha}[0,1]$  to be the completion of  $L^{\infty}[0,1]$  with respect to the norm  $\alpha$ . We can realize the elements of  $L^{\alpha}$  [0, 1] as (equivalence classes of) measurable functions in  $L^1[0,1]$ . Moreover, if  $X=L^{\alpha}[0,1]$  and Y is the set of all measurable functions on [0,1] with the topology of convergence in measure, then (X,Y) is a special multiplier pair with pointwise multiplication, and  $\mathcal{R}_0 = \mathcal{L}_0 = L^{\infty}[0,1]$  (see [7]). Moreover, if  $\alpha$  is continuous, we have

$$\lim_{\mu(F)\to 0} \alpha\left(\chi_F h\right) = 0$$

for every  $h \in L^{\alpha}[0,1]$ .

**Proposition 3.** Suppose  $\alpha$  is a continuous symmetric norm on  $L^{\infty}[0,1]$  and  $\mathcal{G}\subseteq$  $L^{\alpha}[0,1] \oplus L^{\alpha}[0,1]$  is a closed linear subspace and a graph that is invariant under  $M_f \oplus M_f$  for every  $f \in L^{\infty}[0,1]$ . Then there is a measurable function  $\varphi$  (with possibly infinite values) such that  $\mathcal{G} \subseteq \mathcal{G}(M_{\varphi})$ .

*Proof.* Since  $\mathcal{D}(\mathcal{G})$  is an  $L^{\infty}[0,1]$ -module, we have  $|g| \in \mathcal{D}(\mathcal{G})$  whenever  $g \in \mathcal{D}(\mathcal{G})$ . Moreover, if  $\{g_n\}$  is a sequence in  $\mathcal{D}(\mathcal{G})$  and  $(|g_n|, h_n) \in \mathcal{G}$  for  $n \geq 1$ , then  $g = \sum_{n=1}^{\infty} t_n |g_n| \in \mathcal{D}(\mathcal{G}), \text{ where } 0 < t_n < \frac{1}{2^n [\alpha(g_n) + \alpha(h_n) + 1]} \text{ for } n \geq 1.$  Since  $\{x : g(x) > 0\} = \bigcup_{n \geq 1} \{x : |g_n(x)| > 0\}, \text{ if we choose } g_n \text{ so that}$ 

$$\mu\left(\left\{x:g_{n}\left(x\right)\neq0\right\}\right)>\sup\left\{\mu\left(\left\{x:h\left(x\right)\neq0\right\}\right):h\in\mathcal{D}\left(\mathcal{G}\right)\right\}-\frac{1}{n},$$

then we see that

$$\mu\left(\left\{x:g\left(x\right)\neq0\right\}\right)=\sup\{\mu\left(\left\{x:h\left(x\right)\neq0\right\}\right):h\in\mathcal{D}\left(\mathcal{G}\right)\right\}.$$

If  $E = \{x : g(x) \neq 0\}$ , then  $\chi_E h = h$  a.e.  $(\mu)$  for every  $h \in \mathcal{D}(\mathcal{G})$ , and, since  $\mathcal{G}$  is a graph and an  $L^{\infty}$  [0, 1]-module, we see that  $\chi_E h = h$  a.e.  $(\mu)$  for every h in the range of  $\mathcal{G}$ . We can now replace  $L^{\alpha}[0,1]$  with  $\chi_E L^{\alpha}[0,1] = X$  and let Y be the set of measurable functions that vanish on  $[0,1]\setminus E$ . Now g has a multiplicative inverse, and, for each  $h \in X$  and each positive integer  $n \ge 1$ , we have  $h\chi_{E_n} = g(\chi_{E_n}h/g)$ , where  $E_n = \{x : g(x) \ge 1/n \text{ and } |f(x)| \le n\}$ . Since  $\bigcup_{n \ge 1} E_n = E$  (a.e.), the set  $\{\chi_{E_n} : n \ge 1\}$  is left separating for Y. Applying Theorem 1 to  $\chi_E \mathcal{G}$ , we see that

$$\mathcal{G} = \chi_E \mathcal{G} \subseteq L_{f/a}$$

where  $(g, f) \in \mathcal{G}$ . Moreover, the fact that  $\mu(E \setminus E_n) \to 0$  and  $\alpha$  is continuous implies

$$R_{\chi_{E_n}} \to 1$$

in the strong operator topology. It follows from Theorem 2 that  $\chi_E \mathcal{G}$  is the graph of  $L_{f/g}$  on  $\chi_E L^{\alpha}[0,1]$ . If we let  $\varphi = \frac{f}{g}\chi_E + \infty \chi_{([0,1]\setminus E)}$ , we see that  $\mathcal{G} = \mathcal{G}(L_{\varphi})$ .  $\square$ 

Corollary 6. Suppose  $\alpha$  is a continuous symmetric norm on  $L^{\infty}[0,1]$  and T is a closed densely defined linear transformation on  $L^{\alpha}[0,1]$  that commutes with  $L_f$  for every  $f \in L^{\infty}[0,1]$ . Then there is a measurable function  $\varphi:[0,1] \to \mathbb{C}$  such that  $T = L_{\varphi}$ .

**Theorem 5.** Suppose  $\alpha$  is a symmetric norm on  $L^{\infty}[0,1]$  and T is a closed densely defined operator on  $L^{\alpha}[0,1]$  that commutes with  $M_x$ . Then there is a measurable function  $\varphi:[0,1]\to\mathbb{C}$  such that  $T=M_{\varphi}$ .

*Proof.* If  $\alpha$  is continuous, the desired conclusion follows from Corollary 6. If  $\alpha$  is not continuous, then  $\alpha$  is equivalent to  $\|\cdot\|_{\infty}$  on  $L^{\infty}[0,1]$  (see [7]), so  $L^{\alpha}[0,1] = L^{\infty}[0,1]$ , and the desired conclusion follows from Proposition 1.

- 3.3. Finite von Neumann algebras. Suppose  $\mathcal{M}$  is a  $II_1$  factor von Neumann algebra with a faithful normal tracial state  $\tau$ . A unitarily invariant norm on  $\mathcal{M}$  is a norm  $\beta$  such that
  - (1)  $\beta(UTV) = \beta(T)$  for all  $U,T,V \in \mathcal{M}$  with U,V unitary,
  - (2)  $\beta(1) = 1$ .

Since  $\mathcal{M}$  is a  $II_1$  factor, there is a chain  $\{P_t : t \in [0,1]\}$  of projections in  $\mathcal{M}$  such that  $\tau(P_t) = t$  for every  $t \in [0,1]$ . The map  $\chi_{[0,t]} \mapsto P_t$  extends to a unital \*-homomorphism  $\pi: L^{\infty}[0,1] \to \mathcal{M}$  such that

$$\tau\left(\pi\left(f\right)\right) = \int_{0}^{1} f\left(x\right) dx$$

for every  $f \in L^{\infty}[0,1]$ . If we define  $\alpha: L^{\infty}[0,1] \to [0,\infty)$  by

$$\alpha(f) = \beta(\pi(f)),$$

we obtain a symmetric norm  $\alpha$ . It turns out that  $\alpha$  is independent of the chain  $\{P_t: t \in [0,1]\}$ . Moreover, every element  $A \in \mathcal{M}$  has a polar decomposition  $A = U(A^*A)^{1/2}$  and  $\beta(A) = \beta\left((A^*A)^{1/2}\right)$ . There is a chain  $\{P_t: t \in [0,1]\}$  whose generated von Neumann algebra contains  $(A^*A)^{1/2}$ , and there is a  $\varphi \in L^{\infty}[0,1]$  such that  $\pi(\varphi) = (A^*A)^{1/2}$ , and we get  $\beta(A) = \alpha(\varphi)$ . In this way we can recapture  $\beta$  from  $\alpha$ . It is shown in [5] that every symmetric norm  $\alpha$  on  $L^{\infty}[0,1]$  determines a unitarily invariant norm  $\beta$  on  $\mathcal{M}$ , and we will call this norm  $\|\cdot\|_{\alpha}$ . We let  $L^{\alpha}(\mathcal{M}, \tau)$  denote the completion of  $\mathcal{M}$  with respect to the norm  $\|\cdot\|_{\alpha}$ . If the symmetric norm  $\alpha$  is continuous, then

$$\lim_{\tau(P) \rightarrow 0, P = P^* = P^2 \in \mathcal{M}} \|P\|_{\alpha} = 0.$$

If  $\alpha$  is not continuous, then  $\alpha$  is equivalent to  $\|\cdot\|_{\infty}$  on  $L^{\infty}[0,1]$  and  $\|\cdot\|_{\alpha}$  is equivalent to the operator norm on  $\mathcal{M}$ , so  $L^{\beta}(\mathcal{M},\tau)=\mathcal{M}$ .

There is a topology of convergence in measure on  $\mathcal{M}$  (see [11]), and  $L^{\alpha}(\mathcal{M}, \tau)$  can be viewed as a subset of the completion  $\mathcal{Y}$  of  $\mathcal{M}$  with respect to this topology. It was shown in [7] that  $(L^{\alpha}(\mathcal{M}, \tau), \mathcal{Y})$  is a multiplier pair and  $\mathcal{L}_0 = \mathcal{R}_0 = \mathcal{M}$ , and it follows from [11, Theorem 1] that  $(L^{\alpha}(\mathcal{M}, \tau), \mathcal{Y})$  is a special multiplier pair.

**Theorem 6.** Suppose  $\mathcal{M}$  is a  $II_1$  factor von Neumann algebra with faithful normal tracial state  $\tau$ , and suppose  $\alpha$  is a unitarily invariant norm on  $\mathcal{M}$ . Suppose T is a closed densely defined operator on  $L^{\alpha}(\mathcal{M}, \tau)$  that commutes with  $R_S$  for every  $S \in \mathcal{M}$ . Then:

- (1) If  $\alpha$  is not continuous, then  $T \in \mathcal{L}$ .
- (2) If  $\alpha$  is continuous, then  $T = L_y$  for some  $y \in \mathcal{Y}$ .
- (3) If  $\alpha$  is continuous and  $y \in \mathcal{Y}$ , then  $L_y$  is a closed densely defined operator on  $L^{\alpha}(\mathcal{M}, \tau)$  that commutes with  $R_S$  for every  $S \in \mathcal{M}$ .

*Proof.* (1) If  $\alpha$  is not continuous, then  $\alpha$  is equivalent to the operator norm on  $\mathcal{M}$ , so  $L^{\alpha}(\mathcal{M},\tau) = \mathcal{M}$ , which implies  $\mathcal{L}_0 = \mathcal{R}_0 = \mathcal{M}$ . The desired conclusion now follows from Proposition 1.

(2) Suppose  $x \in \mathcal{D}(T)$ . It follows from [11, Theorem 2] that there is a sequence  $\{p_n\}$  of projections in  $\mathcal{M}$  such that  $\tau(p_n) \to 0$  and  $x(1-p_n) \in \mathcal{M}$  for each  $n \geq 1$ , and, since  $\mathcal{D}(T)(1-p_n) \subseteq \mathcal{D}(T)$ , we have  $x(1-p_n) \in \mathcal{M} \cap \mathcal{D}(T)$  for each  $n \geq 1$ . However,  $\alpha$  is continuous, so

$$\alpha (x - (1 - p_n) x) = \alpha (p_n x) \le \alpha (p_n) ||x|| \to 0.$$

Thus the closure of  $\mathcal{M} \cap \mathcal{D}(T)$  contains  $\mathcal{D}(T)$ , and since  $\mathcal{D}(T)$  is dense in  $L^{\alpha}(\mathcal{M}, \tau)$ , it follows that  $\mathcal{M} \cap \mathcal{D}(T)$  is dense in  $L^{\alpha}(\mathcal{M}, \tau)$ . For each  $x \in \mathcal{M} \cap \mathcal{D}(T)$ , let  $P_x$  denote the projection onto the weak operator topology closure of the range of x, and choose a sequence  $\{x_n\}$  in  $\mathcal{M} \cap \mathcal{D}(T)$  such that

$$\lim \tau \left( P_{x_n} \right) = \sup \{ \tau \left( P_x \right) : x \in \mathcal{M} \cap \mathcal{D} \left( T \right) \}.$$

For each  $n \ge 1$  we can write  $x_n = (x_n x_n^*)^{\frac{1}{2}} u_n$  with  $u_n$  unitary, and so  $(x_n x_n^*)^{\frac{1}{2}} = R_{u_n^*} x_n \in \mathcal{M} \cap \mathcal{D}(T)$ . If we choose  $t_n$  so that

$$t_n \left[ \alpha \left( \left( x_n x_n^* \right)^{\frac{1}{2}} \right) + \alpha \left( T \left( x_n x_n^* \right)^{\frac{1}{2}} \right) \right] < \frac{1}{2^n},$$

then  $g = \sum_{n=1}^{\infty} t_n \left( x_n x_n^* \right)^{\frac{1}{2}} \in \mathcal{M} \cap \mathcal{D} \left( T \right)$ . Moreover, it follows that  $P_g \geq P_{\left( x_n x_n^* \right)^{\frac{1}{2}}}$  for each n. Hence

$$\tau\left(P_{g}\right)=\sup\{\tau\left(P_{x}\right):x\in\mathcal{M}\cap\mathcal{D}\left(T\right)\}.$$

If  $x \in \mathcal{D}(T)$ , then  $(xx^*)^{\frac{1}{2}}$ ,  $g + (xx^*)^{\frac{1}{2}} \in \mathcal{D}(T)$ , which means the weak operator topology closure of the range of x (which equals the range of  $(xx^*)^{\frac{1}{2}}$ ) is contained in  $P_g$ . Hence,

$$\mathcal{M} \cap \mathcal{D}(T) \subseteq P_q \mathcal{M} \subseteq P_q L^{\alpha}(\mathcal{M}, \tau).$$

Since  $\mathcal{M} \cap \mathcal{D}(T)$  is dense in  $L^{\alpha}(\mathcal{M}, \tau)$ , we must have  $P_g = 1$ , which implies g is invertible in  $\mathcal{Y}$ .

Now it follows from [11, Theorem 2] that for each  $y \in \mathcal{Y}$  there is a sequence  $\{q_n\}$  of projections in  $\mathcal{M}$  such that  $\tau(q_n) \to 1$  and  $yq_n \in \mathcal{M}$  for every  $n \geq 1$ . Since  $\alpha$  is continuous, we know from [5] that  $R_{q_n} \to 1$  (SOT) on  $L^{\alpha}(\mathcal{M}, \tau)$ . Hence, Theorem 3 implies that  $T = L_{T(g)g^{-1}}$ .

(3) It follows from [6] that  $L_y$  is a closed operator on  $L^{\alpha}(\mathcal{M}, \tau)$ . It follows from [11, Theorem 2] that there is a sequence  $\{p_n\}$  of projections in  $\mathcal{M}$  such that  $\tau(p_n) \to 0$  and  $y(1-p_n) \in \mathcal{M} \subseteq L^{\alpha}(\mathcal{M}, \tau)$ , which implies

$$\bigcup_{n=1}^{\infty} (1 - p_n) \mathcal{M} \subseteq \mathcal{D}(L_y).$$

Moreover, since  $\alpha$  is continuous,

$$\alpha (x - (1 - p_n) x) = \alpha (p_n x) \le \alpha (p_n) ||x|| \to 0.$$

Hence,  $\mathcal{D}(L_u)$  is dense in  $L^{\alpha}(\mathcal{M}, \tau)$ .

# 4. An example on the Bergman space

The closed densely defined operators commuting with the unilateral shift,  $M_z$  on  $H^2$ , are multiplications by functions in the Smirnov class. Is there a similar result for the Bergman shift, multiplication by z on the Bergman space  $A^2$ ? What follows is a counterexample.

**Theorem 7.** There exists a closed densely defined operator that commutes with the Bergman shift but is not a multiplication operator.

*Proof.* By a result of Seip (see [16], [3, Theorem 9, p. 186], [8]) there exist two disjoint interpolation sets  $\Gamma_1, \Gamma_2$  for  $A^2$  whose union  $\Gamma$  is a sampling set. A sampling set for  $A^2$  is defined as a subset  $\Gamma$  of  $\mathbb D$  with the property that there exist positive constants c and C such that, for each  $f \in A^2$ ,

$$c||f||^2 \le \sum_{z \in \Gamma} |f(z)|^2 (1 - |z|^2)^2 \le C||f||^2.$$

Thus, with  $\mu$  the discrete measure having mass  $(1-|z|^2)^2$  at each point z of  $\Gamma$ , the restriction map  $\Phi: f \mapsto f \mid \Gamma$  is an invertible map of  $A^2$  onto a subspace  $A^2 \mid \Gamma$  of  $L^2(\mu)$ . An interpolation set for  $A^2$  is a subset  $\Gamma$  of  $\mathbb D$  with the property that for each element w in  $L^2(\mu)$  there exists  $f \in A^2$  such that  $w = \Phi(f)$ , i.e., the restriction map  $f \mapsto f \mid \Gamma$  on  $A^2$  is onto  $L^2(\mu)$ .

Since interpolation sets are also zero sets for  $A^2$ , we can let  $f_1$  be a function in  $A^2$  having  $\Gamma_1$  as its zero set. Define  $\mathcal{G}_1$ ,  $\mathcal{G}_2$  by

$$\mathcal{G}_1 = \{(u, uf_1) : u, uf_1 \in A^2\}$$

and

$$\mathcal{G}_2 = \{(g,g) : g \in A^2, \ g \mid \Gamma_2 = 0\}.$$

Then  $\mathcal{G}_1 = \mathcal{G}(M_{f_1})$  and  $\mathcal{G}_2 \subseteq \mathcal{G}(I)$  are graphs of closed operators that commute with the Bergman shift  $M_z$ . Moreover,  $\mathcal{G} = \mathcal{G}_1 + \mathcal{G}_2$  is also a graph. To see that  $\mathcal{G}$  is a graph, we need only check that if  $(0,h) \in \mathcal{G}$ , then h = 0. Suppose  $(u,uf_1) \in \mathcal{G}_1$ ,  $(g,g) \in \mathcal{G}_2$  and  $(0,h) = (u,uf_1) + (g,g)$ . Then u = -g vanishes on  $\Gamma_2$ , so  $uf_1$  vanishes on the sampling set  $\Gamma = \Gamma_1 \cup \Gamma_2$ , which implies  $uf_1 = 0$ . But  $f_1 \neq 0$ , so 0 = u = -g, whence h = 0.

Finally, it will be shown that the angle between  $\mathcal{G}_1$  and  $\mathcal{G}_2$  is greater than zero, and thus the graph  $\mathcal{G} = \mathcal{G}_1 + \mathcal{G}_2$  is itself a closed direct sum. It is to be shown that there is a  $\gamma$ ,  $0 \le \gamma < 1$ , such that, if  $F_k \in \mathcal{G}_k$  for k = 1, 2, then

$$|\langle F_1, F_2 \rangle| \le \gamma ||F_1|| ||F_2||.$$

Let  $\Phi^{(2)}(f,g) = (\Phi(f),\Phi(g))$ . Since  $\Phi$  is an isomorphism between  $A^2$  and its image  $\mathcal{A}$  in  $L^2(\mu)$ , it suffices to show that the preceding inequality holds for the images of  $F_1$  and  $F_2$  under  $\Phi^{(2)}$ . Since an element of  $L^2(\mu)$  in the image  $\mathcal{A}$  of  $\Phi$  is just the restriction of an element of  $A^2$ , we will simply write  $f = \Phi(f)$  and indicate the norm and inner product in  $L^2(\mu)$  with a subscript  $\mu$ . We have  $F_1 = (u, uf_1)$  and  $F_2 = (g,g)$  with  $g, u, uf_1 \in A^2$  and  $g \mid \Gamma_2 = 0$ . Thus

$$\langle F_1, F_2 \rangle_{\mu} = \langle u, g \rangle_{\mu} + \langle u f_1, g \rangle_{\mu} = \langle u, g \rangle_{\mu},$$

where the second equality follows from the fact that  $f_1 \mid \Gamma_1 = 0$  as well as  $g \mid \Gamma_2 = 0$ , so  $uf_1\bar{g}$  vanishes a.e.- $\mu$ . Also,

$$||F_1||_{\mu}^2 = ||u||_{\mu}^2 + ||uf_1||_{\mu}^2$$

and

$$||F_2||_{\mu}^2 = 2||g||_{\mu}^2.$$

We have

$$|\langle F_1, F_2 \rangle_{\mu}| = |\langle u, g \rangle_{\mu}|^2 \leqslant ||u||_{\mu} ||g||_{\mu} \leq ||F_1||_{\mu} \left(\sqrt{2}/2\right) ||F_2||_{\mu},$$

as required with  $\gamma = \sqrt{2}/2$ . It follows that the subspaces are at a positive angle and the sum of their closures is closed. The operator whose graph is  $\mathcal{G}$  has the required properties.

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